

Form PTO-1390
(Rev. 12-29-99)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NO.
H 3757 PCT/US**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (if known use 37 CFR 2.5)

09/857933INTERNATIONAL APPLICATION NO.
PCT/EP99/09442INTERNATIONAL FILING DATE
Decemb r 3, 1999PRIORITY DATE CLAIMED
Dec mb r 11, 1998

TITLE OF INVENTION

**DISPERSIONS OF SILYL-TERMINATED POLYMERS WITH A HIGH SOLIDS CONTENT, THEIR
PRODUCTION AND THEIR USE**

APPLICANT(S) FOR DO/EO/US

Martin Majolo, Wolfgang Klauck, Johann Klein, Wolfgang Ernst and Gaby Schilling

Applicant herewith submits to the United States Designated/Elected Office (EO/DO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).
☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 - ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
 - ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
 8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 13. ☒ A **FIRST** preliminary amendment
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
 14. ☐ A substitute specification.
 15. ☐ A change of power of attorney and/or address letter.
 16. ☒ Other items or information:

International Search Report and IDS with references

"Express Mail" mailing label number EL615775967US

U.S. Application No. (if known, see 37 CFR 1.5)

09/857933

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17. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO.....\$1,000.00International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO.....\$860.00International preliminary examination fee (37 CFR 1.482) not paid to USPTO but
international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$710.00International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$690.00International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100.00

CALCULATIONS

PTO USE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT

= \$ 860

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date 37 CFR 1.492(e)).

\$ 0

CLAIMS

NUMBER FILED

NUMBER EXTRA

RATE

Total Claims

1 - 20 =

0

0 X \$18.00

\$ 0

Independent Claims

1 - 3 =

0

0 X \$80.00

\$ 0

Multiple dependent claims (s)(if applicable)

0

+ \$270.00

\$ 0

TOTAL OF ABOVE CALCULATIONS

= \$ 860

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must
also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

\$ 0

SUBTOTAL

= \$ 860

Processing fee of \$130.00 for furnishing the English translation later the ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 0

TOTAL NATIONAL FEE

= \$ 860

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$ 0

TOTAL FEES ENCLOSED

= \$ 860

Amount to be:
refunded:

\$-----

charged:

\$ 860.00

a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.b. ☒ Please charge my Deposit Account No. 01-1250 in the amount of \$ 860.00 to cover the above fees.
A triplicate copy of this sheet is enclosed. Order No. 01-0453.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 01-1250. A duplicate copy of this sheet is enclosed.**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b))
must be filed and granted to restore the application to pending status.**SEND ALL CORRESPONDENCE TO: Henkel Corporation, Law Dept.
2500 Renaissance Blvd., Suite 200
Gulph Mills, PA 19406

SIGNATURE:

Stephen D. Harper

NAME ATTORNEY FOR APPLICANT

33,243

REGISTRATION NUMBER